

#### **ANNEXURES TO**

#### POLICY BRIEF IN SUPPORT OF FUNDED EXTENSION

Composed by:

**Sub-Committee on Covid-19** 

**PhD Working Group** 

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### **Table of Contents**

Annexure I: University and Funding Agency Practices
Annexure - II: Lack of access to external funding agencies (Illustrative) 6
Annexure- IV: Doctoral Student Testimonials on Being Outside of Hungary on the GTFP/DRSG or fieldwork
Annexure V: Testimonials from Doctoral Students on the pandemic and its potential consequences impact on their research timeline, work priorities, and academic plans 9
Annexure-VI: Doctoral Student Testimonials on Pandemic Induced Impacts on Data  Collection for Research
Annexure- VII: Doctoral Student Testimonials on Impacts to Work-from-Home (WFH). 11
Annexure- VII: Testimonials from Doctoral Students on the impact of the pandemic their disability/health condition and its effects on their research work
Annexure- VIII: Testimonials from Doctoral Student Who are Considering Dropping Out of the Program
Annexure X: Testimonials from Doctoral Students on what would be the most beneficial intervention to help you get back on track
Annexure XI: Testimonials from Doctoral Students on not completing their PhD within the expected time framework
Annexure XII: Testimonials from Doctoral Students on the loss of ability to work productively caused by the current crisis

### **Annexure I: University and Funding Agency Practices**

### a) Funding Agencies

	Funding Agency	Guidance
1	UKRI	UKRI-funded PhD students whose studies have been disrupted by the COVID-19 pandemic will be provided with additional support, announced Science Minister Amanda Solloway today (Thursday 9 April).
		UK Research and Innovation (UKRI) funded doctoral students in their final year will receive an extension to their research with additional grants, known as a costed extension, of up to six months providing them with peace of mind that they will be able to complete their studies.
		The extension offer will apply to final year PhD awards that have been impacted by the COVID-19 pandemic and is in addition to the guidance already published by UKRI, including advising research organisations on how existing grants can be used to support their students.
2	Wellcome Trust	No-cost extensions for grants that are due to end in 2020 or 2021.
		We will extend all grants by up to six months, to allow for any disruption caused by the pandemic.
3	The British Academy	We encourage award-holders to investigate, where necessary, all possible alternative ways of continuing to progress their research.
	https://www.thebriti shacademy.ac.uk/ne ws/coronavirus- covid-19	However, we understand that the outbreak may impact on the activities associated with some awards and will treat all requests for no-cost extensions to awards and requests for virement of funding between cost headings sympathetically.
4	The Royal Academy  https://royalsociety. org/grants-schemes- awards/grants/coron avirus-covid19-	The Royal Society will provide no-cost extensions for any award holder whose research is delayed due to the novel coronavirus pandemic.
	grants- information/faq- award-holders/	

5 Natural Science and Engineering Research Council of Canada (NSERC)

> https://www.nserccrsng.gc.ca/Media-Media/NewsRelease = CommuniqueDePre sse\_eng.asp?ID=11

39

To lessen the impact due to COVID-19 and to support all of our researchers and highly qualified personnel, all active Discovery Grants can elect to receive a one-year extension with funds at their current funding level. This includes grants from the recent 2020 competition. Grantees due to apply in competition 2021 can elect not to apply for funding this summer/fall during these tumultuous times.

#### b) University Funders

	University	Guidance
1	Bath University	Your stipend payments will continue provided you are fully enrolled or you are already on suspension but still eligible to receive stipend payments (e.g. maternity/paternity/adoption/sick pay).  You should not request a new suspension of your studies if your funder (e.g. UKRI, various Research Councils such as EPSRC and ESRC, the University) has indicated that a funded extension could be provided, as this will mean that your stipend payments will stop (unless you are still eligible for the UKRI-standard 13 weeks' sick pay within a rolling 12 months).
2	Victoria University of Wellington  https://www.wgtn.ac.nz/co vid-19/students/covid-19- information-for- postgraduate-students	Your thesis may take longer to finish. The normal time allowed to complete a doctoral degree is three to four years and, for a Master's thesis, 12 months. If your research takes longer than the maximum time, you can apply for an extension. Major disruptions to research caused by COVID-19 will be considered a good reason for an extension to be approved.  A retrospective suspension is an alternative to an extension: if you find you are not able to work effectively on your research now, you can stay enrolled, see what you can do, and apply for a retrospective suspension once you know the extent

		of the impact on your study. If you are awarded a retrospective suspension then the fees you were charged for the period of suspension will be credited to your account.
3	University of Melbourne  https://gsa.unimelb.edu.au /covid-19-measures- graduate-researchers/	The University has announced Covid-19–related paid leave measures for graduate researchers. Graduate researchers can apply for up to 60 working days (12 weeks) of leave for circumstances directly related to Covid-19.  Graduate researchers who are close to completion (up to three months prior to their three-year milestone) will have automatic extensions of 6 months – this will also extend out scholarship length where applicable. These measures also apply to part-time students with duration extended accordingly.
4	La Trobe University  https://www.latrobe.edu.a u/about/novel- coronavirus/students/grs- faqs	La Trobe's 3.5 year graduate research scholarships include an extension up-front to account for potential disruptions to their studies. Some candidates will face significant new disruptions to their project as a result of COVID-19, particularly through interruption to essential fieldwork and clinical studies and access to facilities.  We understand that candidates who face further disruption may need additional support through an extension to a stipend scholarship. The level of disruption will depend upon the research discipline and nature of the research project, the stage in candidature lifecycle, and the longevity of the COVID-19 crisis.

#### **Annexure - II: Lack of access to external funding agencies (Illustrative)**

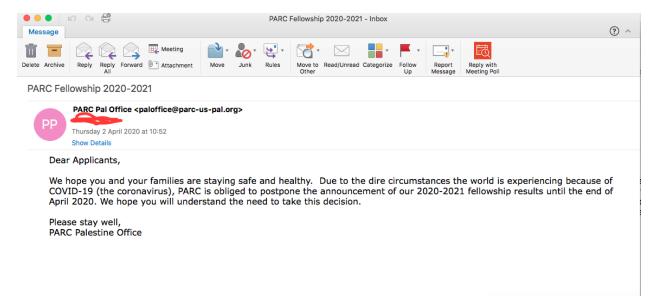


Fig. 1 Lack of access to external funding agency Palestinian American Research Center (PARC)

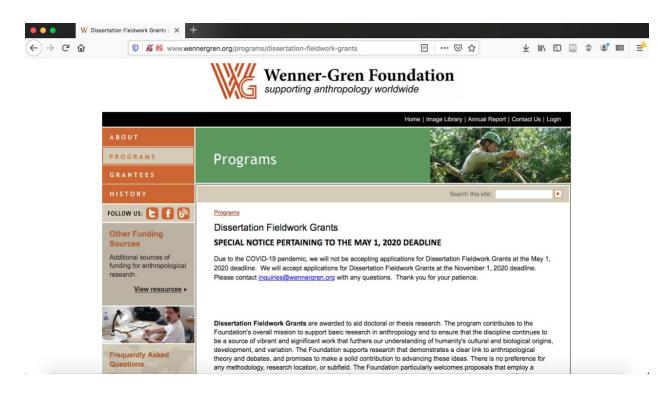


Fig. 2. Lack of access to external funding from the Wenner-Gren Foundation

Fig 1. Lack of ScienceDirect Access through CEU VPN



Fig. 2. Lack of Taylor & Francis Online Access through CEU VPN



Fig. 3 Lack of CambridgeCore Access through CEU VPN



## Annexure- IV: Doctoral Student Testimonials on Being Outside of Hungary on the GTFP/DRSG or fieldwork

- 1. "I am stuck in the apartment in a foreign country where I do not know anybody nor do I speak the language."
- 2. "Cannot return to Budapest. Limited financial means."
- 3. "I cannot conduct participant observation or in person interviews on sensitive topics that are not suitable for Skype or Zoom interviews."
- 4. "I am stuck in other country, which no opportunity to return to Hungary or my home country."
- 5. "I had to stop my fieldwork."
- 6. "I had to stop fieldwork, I cannot travel to my second fieldwork location, I cannot follow one of the key events I was supposed to monitor (elections abroad)."

## Annexure V: Testimonials from Doctoral Students on the pandemic and its potential consequences impact on their research timeline, work priorities, and academic plans

- 1. "Many advancements in my career and personal life were scheduled in connection to my research trip to China. Now it all have been postponed by a year, and at this point I am only hoping that it does not have to be posponed longer or cancelled completely. I was planning to enter the GTFP after my research trip, as I mean to include the knowledge I acquire there into the course I am planning to teach. I was also planning to start looking for a job and prepare my professional career for after finishing the PhD program."
- 2. "This is my last year of PhD and I feel out of the academic environment (I had come back to a friend and will then go back to my father's house). My plan was to apply for the Write-Up Grant on August. Every collaborator of mine is now slower than before (which is understandable). The designs of the studies I wanted to run by July have to be re-adjusted and will take probably more time than thought so either I postpone my graduation (with the risk of not being paid for the additional months I need) or I might not be able to include the studies in my thesis (or to submit the relative papers before applying for a post-doc)"
- 3. "I'm supposed to do my DRSG this fall, and had just finished setting up the arrangements with a host supervisor. Feedback from the Grants Office was extremely negative they suggested postponing even further, and chastised us for "using this as an excuse to replace our stipend money with DRSG money".
- 4. "The DRSG had to be moved from September to probably January, which means I'll have no funding from September-January. This also means I'll most likely have to postpone my write-up grant and graduate later (October or November), which is again problematic because of job opportunities in the academia."
- 5. "It has delayed the second round of my fieldwork, which I hope to conclude during the fall. I am not sure how grants will be impacted, since I was supposed to use the remainder of my Fieldwork and Archival Grant to fund the second round of my fieldwork, but this is only available in 2nd and 3rd years. By the fall, when hopefully the pandemic will subside, I'll be in my 4th year and there hasn't been a clear indication whether we will be able to use those unspent funds, which we were to receive."
- 6. "Because of the pandemic's impact on my data collection, my research timeline currently delayed for at least 6 months. I cannot do my survey and therefore the following fieldwork. My academic plans also became more complicated because of the cancellation or postponement of the available fellowships."
- 7. "According to the PHD regulations, we have 6 years to defend from the date of the comprehensive exam, and I am afraid I have lost half a year of the fieldwork due to the corona virus epidemic. Psychologically wise, I cannot be productive and write"

## Annexure-VI: Doctoral Student Testimonials on Pandemic Induced Impacts on Data Collection for Research

- 1. "I am supposed to conduct a nationwide survey prior to my fieldwork. The pandemic happened just before the survey started. Because of the topic and the target population, the survey should be face-to-face so that I cannot switch to online or computerized telephone surveys. Because it is harder to reach to people in the case country during the summer, even though the pandemic ends during the summer I have to wait until September depending on the most optimistic scenario. Normally my data collection process was going to finish by October 2020."
- 2. "I were to implement a large-scale, nationally representative survey, but the survey company stopped working due to the pandemic, no fieldwork is taking place. I'm screwed."
- 3. "Entire field research has been put on hold for an indeterminate amount of time."
- 4. "I can't conduct interviews because of social distancing regulations."
- 5. "I am unable to conduct in-person ethnographic fieldwork and will lose months of time to the pandemic. This is especially difficult because I am not doing research in my home country and cannot choose to stop the clock or return to my home country and wait. I am stuck within these borders and don't have options to go home or back to Budapest. Therefore my financial situation will be affected as well as my research, since I feel I am wasting my stipend."
- 6. "I was supposed to do archival research in a number of cultural centers in Egypt, which are now all closed to the pandemic. The country is in a total lockdown."
- 7. "Data source only available on campus computers."
- 8. "...in the fall, I was meant to go on a year long field trip to several locations but now I cant apply for any grants, and I dont know if my timeline will stay the same. Also, I worry about how accessible the field will be in terms of ability to access communities and institutions months even after lockdowns are lifted."
- 9. "I do developmental work at the Baby Lab. The lab closed and recruitment stopped. This means that all my studies (a study that was in progress, one pilot and a project that I was about to start piloting) stopped. And I don't think I will be able to run experimental work before I defend my thesis."
- 10. "My fieldwork has been cancelled for the year and because of the very seasonal nature of it, I now will not be able to go until the summer of my third year. Meaning I will not really have any data until I have about three months left on my stipend."

#### **Annexure- VII: Doctoral Student Testimonials on Impacts to Work-from-Home (WFH)**

- 1. "The move to home-working impacted my mental health, I feel very isolated and anxious. It is difficult to focus on my work."
- 2. "I am father of two children and the operation of both the school and the kindergarten are suspended at present. Therefore, I am spending a good half of my time with family care these days."
- 3. "I'm currently in my DRSG in Berlin, so I don't know where I should go when it ends (May 31). As I cannot enter in Hungary and my permit will end in August 20, I really need some guidance about how to proceed."
- 4. "I don't have a proper desk and chair to work on so I'm forced to do all the work from my couch, which was previously my area for relaxation. As I have one bedroom apartment the boundaries between the designated work space and relaxation space are effectively blurred, which negatively affects my mental health and the ability to let go of work-related stress. In addition to this, a long-term project of a construction of a whole floor of apartments has just started above my head so I get to enjoy the sounds of drilling and demolishing."
- 5. "I struggle to work from home for a variety of reasons including inadequate space, a chaotic environment and an unspoken pressure that I should be helping out at home and doing jobs there rather than sat at my laptop all day. I struggle to justify what I'm doing as a legitimate use of my day to my family and I am often pulled away from my work. Essentially, my time has ceased to be my own, where in the lab I had control over my time, days and work."
- 6. "I live with three other people in the apartment, and all of them have to work from home. One of them works in customer support for Wolt and is loudly speaking the whole day. The other works as a recruiter and also conducts all the interviews from our apartment, as well as obsessively exercises (I know it's not too relevant, but it has impact on my concentration). The third person is also working on his PhD and needs to use the only working desk we have in our apartment, so we have to make shifts regarding working on that desk. That makes me work from bed half of day, and on the desk the other half, with 10 hours of having earphones not to hear our roomates loudly speaking."
- 7. "I am on the field and all my field activities have been halted as a result."
- 8. "I have no books I need. I don't have where to print my thesis to day to day corrections. No desk. No nice chair to support me during my 10 hour writing shifts"

# Annexure- VII: Testimonials from Doctoral Students on the impact of the pandemic their disability/health condition and its effects on their research work

- 1. "I have asthma which was OK before but it is worse now."
- 2. "There is a lot of uncertainty about how the virus could affect my health given that I already have multiple underlying conditions. I also had to stop going to health check-ups and appointments due to the virus, and now I am basically in the dark about my overall condition (e.g. I need to take several blood tests in every month or so, and now I can't really do that this gives me a lot of anxiety)."
- 3. "Discontinuity of treatment, but not at all related to the university as the treatment is conducted on a long term basis without recourse to the university's medical centre etc."
- 4. "Faculty seem entirely unaware that students are disabled and this matters for access. I am deafened this means online communication is very difficult, it requires significant focus from myself, I can only manage in short stints with good connection and being able to lipread. Online classes are just a joke for hard of hearing people unless adequate support is put in place. My hearing aids also need renewal as CEU does not pay for this, I was waiting to save up for this in my home country, that plan is now over, so I'm struggling on. The equipment I have to help with my disability is all provided by me luckily I have it. I'd like to see more provision of kit."
- 5. "I have a chronic respiratory condition that puts some stress on being at risk."
- 6. "I had anxiety disorder and OCD (officially diagnosed, but documents are in my home country). It worsened now and I don't have access to sleeping medications and other treatments."
- 7. "I have a generalized anxiety disorder and at the beginning of this situation, I felt like I was completely neurotic (more than usual) so I was significantly prevented for several weeks from doing any kind of work, or even some basic stuff."

# Annexure- VIII: Testimonials from Doctoral Student Who are Considering Dropping Out of the Program

- 1. "I have been delayed for almost 6 months, and I do not have 6 months of stipends left to compensate. I have to find work."
- 2. "It really depends how long this goes on if we lose 6 months funded research and there's no make-up for it or extension I just can't afford to finish."
- 3. "I am worried if I dont do the fieldwork in the fall it will delay my whole project and these delays will make it very difficult to finish the project. I will be in my 3rd year by September, if I cant do fieldwork then, worried on when Ill be able to."
- 4. "I have no finances to go through the program. I was planning to apply to write-up grant after the DRSG, but since CEU suspended all fundings, my partner being without job, and my parents struggling to save family business (with our house being damaged in recent earthquake in Zagreb), I do not see other option."
- 5. "economical reasons: the current situation makes it impossible to earn extra money, the stipend is not enough."
- 6. "It is useless, i feel useless and helpless and not for a tiny bit supported."

## Annexure IX: Doctoral Student Testimonials on Adjustments and Measures by CEU as a result of Covid-19 Pandemic

- 1. "I don't know. Most of the measures seem to be taken for master students in mind rather than Ph.D.s"
- 2. "As a disabled student I am also totally left without support."
- 3. "I have not heard of anything from CEU or from my own department; however, since I am still affiliated with a university in my hometown as a visiting scholar, I have been able to see that this university is offering its PhD students a fund where they can apply for money to purchase proper office chairs, high speed internet, sound-cancelling headphones, and any other equipment they might need to work from home. This kind of fund is definitely something CEU can and should be doing for its students."
- 4. "No not really. They offered to pick up our things from the lab but that's nothing."

# Annexure X: Testimonials from Doctoral Students on what would be the most beneficial intervention to help you get back on track

- 1. "Honestly, what I think would be most helpful would be if CEU could offer the PhDs an extra half a year of funding (in addition to the 3 years), as we have wasted so much time that we could have invested in our academic growth if it wasn't for the pandemic... And it's not our laziness, it is that we objectively cannot travel anywhere, make any academic plans, meet people who could help with our projects... and above all that, it is so incredibly hard to be productive with all the distractions and stress going on every day."
- 2. "extra funding (stipend) for the time lost (i.e. for the period under lockdown/state of emergency)"
- 3. "additional budget, currently my phd tipend is over and will have to wait more with the write-up grant since the lagging behind with my work and also because other grant had been cancelled (COST)"
- 4. "I'm afraid that, beyond some form of financial support for the three months, there's not much that can be done. Otherwise, I'll have to look for full-time employment."
- 5. "We need to re-set the clock to before the pandemic."
- 6. "Extension of the current stipend scheme for at least 6 months. This is the most optimistic scenario. Otherwise, I have to find extra funding opportunities that let me to conduct my fieldwork as well. It will be extremely hard under these circumstances considering there will be less funding opportunities and lack of predictability for the coming months."
- 7. "Extension for the period of the pandemic or at least a couple of months. Also, I expect that we would be able to use the grants archival/summer school etc. according to a different timeline"

# Annexure XI: Testimonials from Doctoral Students on not completing their PhD within the expected time framework.

- 1. "It's been only a few months but there are several direct consequences of Corona and we don't know how long this will continue or that what kind of more direct as well indirect consequences will surface in the future. It is therefore almost impossible to make any long term plan now with certainty."
- 2. "I think with these several months of setback, it will be difficult to do everything I was supposed to do during the three years that I am getting funding. I feel like research and writing will be even more difficult to do after the 3rd year when I will depend on other sources of income, which I fear will also be much more difficult to find than they were before the covid19 situation. In this sense, I think this will inevitably extend the phd process, which to be fair was difficult at this university in many ways even before the pandemic"
- 3. "I have fallen behind in my writing schedule due to the stresses brought on by the COVID19 crisis. I have been unable to do my follow-up fieldwork for my dissertation due to the pandemic. The three academic conferences I was planning to attend this summer, which were supposed to help my career and publications, have all been canceled. Most importantly, my two funding sources (the DRSG and the write-up grant) for next year (the final year of my PhD) will most likely not be available to me, so I will likely have to disenroll from the PhD for a year until these funding sources become available again."
- 4. "As I said before, the 1st year cohort was jeopardized, in terms of time, by the Budapest-Vienna-Budapest move AND by the pandemic. I'm in no way where I expected to be at this point, and I do believe that these situations had something to do with it. Also, my framework did include some fieldwork and hopefully a DSRG that would really help me with the research, but I don't think that's happening anytime soon."

## Annexure XII: Testimonials from Doctoral Students on the loss of ability to work productively caused by the current crisis.

- 1. I have anxiety through which I was receiving medication from the CEU medical center. I'm now rationing this medication as I am now stuck in a third country (not my home country, and not Hungary), as a consequence of travel plans made before the pandemic started. It's quite difficult to work with a giant cloud of existential dread looming over, while working in a country in which I do not have a residence permit.
- 2. "Because I planned my data collection process depending on my stipend scheme, I'm stressed about my financial situation during the data collection process. Apart from the material reasons the level of stress affect my productivity."
- 3. "It's extremely worrying to see what is happening outside and it's hard to focus on other things. I've been pushed to engage in community work to help people, because it seems more important right now than my PhD."
- 4. "I think this pandemic is not only about affecting my "productivity" in a financial or physical way, but there is also the personal emotional and mental toll of living during this pandemic, particularly in Hungary, the isolation and separation from colleagues and peers, but also friends and family, whose conditions in my case are also precarious in terms of finances and well-being. All of these things make it sometimes impossible not only to work, but also to concentrate or do anything at all."
- 5. "I have to take care of a 90+ relative. If was not easy before but more manageable. Now I have to do everything instead of him and it takes a lot of time."